# Exploring the abyss in Reverse Mathematics 

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Thirdly, we may discuss foundational implications, though ...

Introduction

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Harvey Friedman \& Steve Simpson (courtesy of MFO).

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Real numbers and ' $=\mathbb{R}_{\mathbb{R}}$ ' defined as in $\mathrm{RCA}_{0} ; \mathbb{R} \rightarrow \mathbb{R}$-functions are $\mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$-functions extensional relative to ${ }^{\prime}=\mathbb{R}^{\prime}$.

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- third-order theorems about (slightly) discontinuous functions.

These third-order theorems are called second-order-ish for obvious reasons. A similar phenomenon does not exist for first- and second-order theorems (AFAIK).

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- A continuous function on $[0,1]$ is bounded.
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WILD: there are $2^{\mathfrak{c}}$ non-measurable quasi-continuous functions and
$2^{\mathfrak{c}}$ non-Borel bounded and measurable quasi-continuous functions.

## Arithmetical comprehension

The following are equivalent to $A C A_{0}$ over $\mathrm{RCA}_{0}$ :

- Let $F: C \rightarrow \mathbb{R}$ be continuous where $C \subset[0,1]$ is an RM-closed set. Then $\sup _{x \in C} F(x)$ exists.
- Let $F: C \rightarrow \mathbb{R}$ be continuous where $C \subset[0,1]$ is an RM-closed set. Then $F$ attains a maximum value on $C$.
- Jordan decomposition theorem restricted to codes.


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The following are equivalent to $\mathrm{ACA}_{0}$ over $\mathrm{RCA}_{0}$ :

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## Arithmetical comprehension

These third-order thms are equivalent to $A C A_{0}$ over $R C A_{0}^{\omega}$ :

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- Jordan decomposition theorem restricted to arithmetical (or: $\left.\Sigma_{1}^{1}\right)$ functions.
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- Cousin's lemma for effectively Baire 2 functions.


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Baire (1905) notes that Baire 2 functions can be represented as iterated limits.

## $\Pi_{1}^{1}$-comprehension

These third-order thms are equivalent to $\Pi_{1}^{1}-\mathrm{CA}_{0}$ over $\mathrm{RCA}_{0}^{\omega}+X$ :

- For any $x \in \mathbb{N}^{\mathbb{N}}$, any bounded $\Sigma_{1}^{1, x}$-class in $\mathbb{Q}^{+}$has a supremum.
- A bounded effectively Baire $2 f:[0,1] \rightarrow \mathbb{R}$ has a supremum.
- For $n \geq 2$, a bounded and effectively Baire $n f:[0,1] \rightarrow \mathbb{R}$ has a supremum.
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There are however hard limits to the Biggest Five phenomenon, with interesting consequences.

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Slight variations of the aforementioned second-order-ish theorems are not provable in $R C A_{0}^{\omega}+Z_{2}$ and stronger systems.

## Abyss? Abyss!

In ordinal analysis, the difference between the systems $\Pi_{1}^{1}-C A_{0}$ and $\Pi_{2}^{1}$-CA has been described as an abyss or chasm by Michael Rathjen and Per Martin-Löf.

The difference between $\Pi_{1}^{1}-C A_{0}$ and $Z_{2}$ is therefore galactic in nature (about 12 parsecs?)

Slight variations of the aforementioned second-order-ish theorems are not provable in $R C A_{0}^{\omega}+Z_{2}$ and stronger systems.

The mathematical difference between the original and the variation is infinitesimal.

## The abyss and $\Pi_{1}^{1}-\mathrm{CA}_{0}$

This third-order thm is equivalent to $\Pi_{1}^{1}$-CA $A_{0}$ over $\mathrm{RCA}_{0}^{\omega}+X$ :
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## On Kleene's arithmetical quantifier $\exists^{2}$

The above was obtained based on the RM of Kleene's $\exists^{2}$ :

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The following is not provable in $\operatorname{RCA}_{0}^{\omega}+\left(\exists^{2}\right)+Z_{2}$ :
There is a $\mathbb{R} \rightarrow \mathbb{R}$-function that is not Baire 2 .

## Exploring the abyss: the uncountability of $\mathbb{R}$

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Many many many (third-order) mainstream theorems imply NIN or NBI. However, NIN and NBI cannot be proved in RCA ${ }_{0}^{\omega}+Z_{2}$ and stronger (higher-order) systems (see Normann-Sanders, JSL, 2022).

## What causes this abyss?

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Similar results for WWKL, Vitali's covering lemma, and Kleene's $\left(\exists^{2}\right)$. Many equivalences for NIN and basic properties of regulated functions. Same for basic properties of measure and category and semi-continuity (Baire, Volterra, ...).

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- basic properties of the Lebesgue measure and integral,
- the special role of the Axiom of Choice,
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- $R C A_{0}^{\omega}+Z_{2}$ cannot prove: there is no injection from $\mathbb{R}$ to $\mathbb{N}$.
- basic properties of the integral
- ZF cannot prove that $\int_{[0,1]} f d \lambda=0$ implies $f(x)=0$ a.e. for $f:[0,1] \rightarrow[0,1]$ for the Lebesgue integral.
- $\mathrm{RCA}_{0}^{\omega}+\mathrm{Z}_{2}$ cannot prove $\int_{0}^{1} f(x) d x=0$ implies $f(x)=0$ a.e. for $f:[0,1] \rightarrow[0,1]$ for the Riemann integral.
- the special role of the Axiom of Choice: countable AC versus NCC (see arxiv https://arxiv.org/abs/2006.01614 or JLC).


## Foundational musings

Non-second-order-ish mathematics exhibits a number of interesting phenomena that are 'miniature' versions of well-known observations in set theory, including:

- the mercurial nature of the cardinality of $\mathbb{R}$ :
- ZFC cannot prove the Continuum Hypothesis.
- $\mathrm{RCA}_{0}^{\omega}+\mathrm{Z}_{2}$ cannot prove: there is no injection from $\mathbb{R}$ to $\mathbb{N}$.
- basic properties of the integral
- ZF cannot prove that $\int_{[0,1]} f d \lambda=0$ implies $f(x)=0$ a.e. for $f:[0,1] \rightarrow[0,1]$ for the Lebesgue integral.
- $\mathrm{RCA}_{0}^{\omega}+\mathrm{Z}_{2}$ cannot prove $\int_{0}^{1} f(x) d x=0$ implies $f(x)=0$ a.e. for $f:[0,1] \rightarrow[0,1]$ for the Riemann integral.
- the special role of the Axiom of Choice: countable AC versus NCC (see arxiv https://arxiv.org/abs/2006.01614 or JLC).
- basic properties of measure (zero) and category.


## Thanks! Questions?

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